

09/133813

CgC



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Noda

Patent No.: 6,338,727

For: *Indwelling heat exchange catheter and method of using  
same*

)  
)  
) May 27, 2010  
) 750 B STREET, Suite 3120  
) San Diego, CA 92101  
)  
)  
)  
)

CERTIFICATE OF CORRECTION

Commissioner for Patents  
Alexandria, VA

Dear Sir:

The enclosed PTO/SB/44 is to correct an error on the part of the PTO. Both the attached printout from the USPTO assignment database for the subject patent along with the underlying assignment show that the subject patent was assigned by inventor Gobin to the Regents of the University of California. Accordingly, the mistake was on the part of the PTO, not patentee.

Respectfully submitted,

/John L. Rogitz/

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Attorney of Record  
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Telephone: (619) 338-8075

JLR:jg

cofc

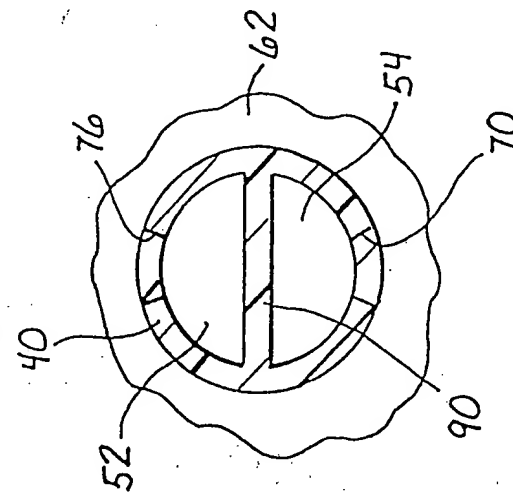
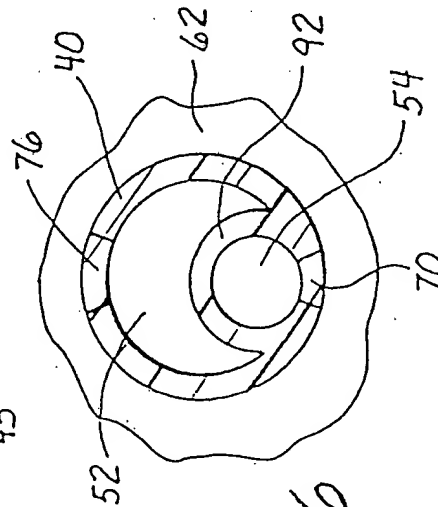
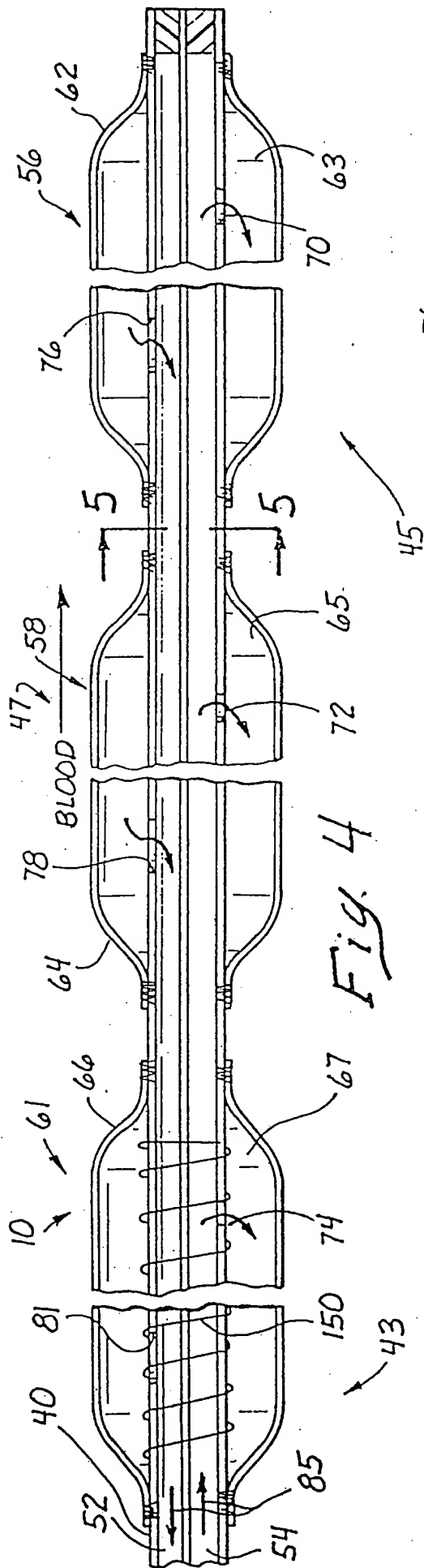
**Certificate**

JUN 07 2010

**of Correction**



INDWELLING HEAT EXCHANGE CATHETER  
AND METHOD OF USING SAME  
NODA et al.  
09/133,813  
Alsius Docket 999/002 USA  
4/19



INDWELLING HEAT EXCHANGE CATHETER  
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5/19

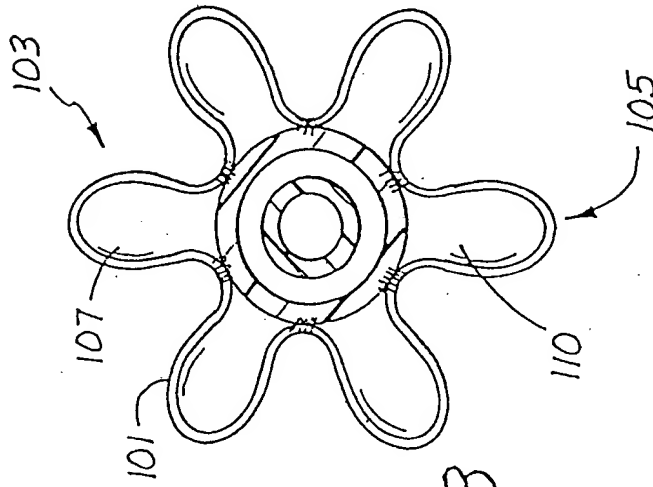


Fig. 8

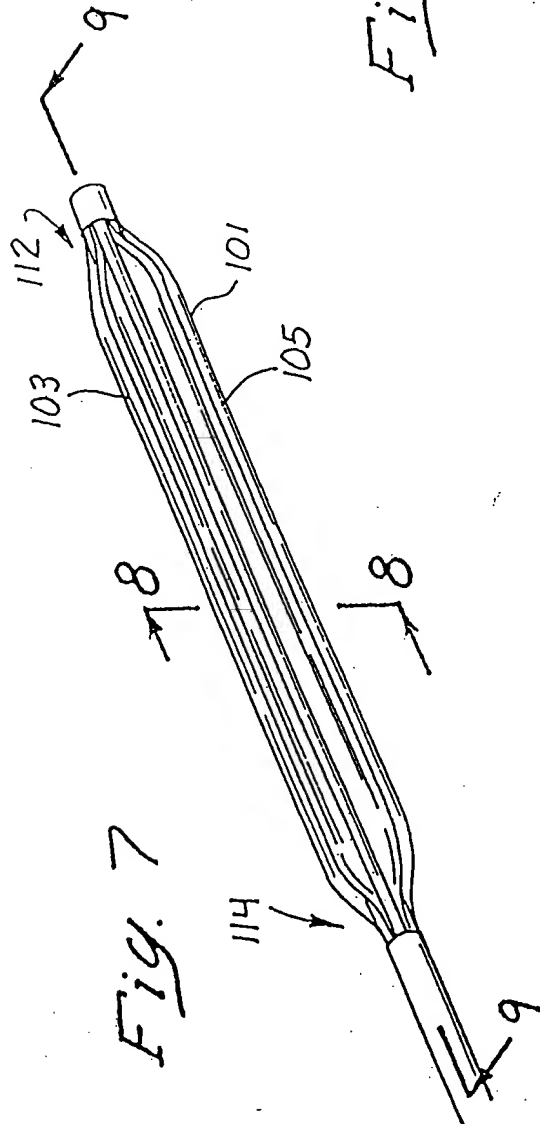


Fig. 7

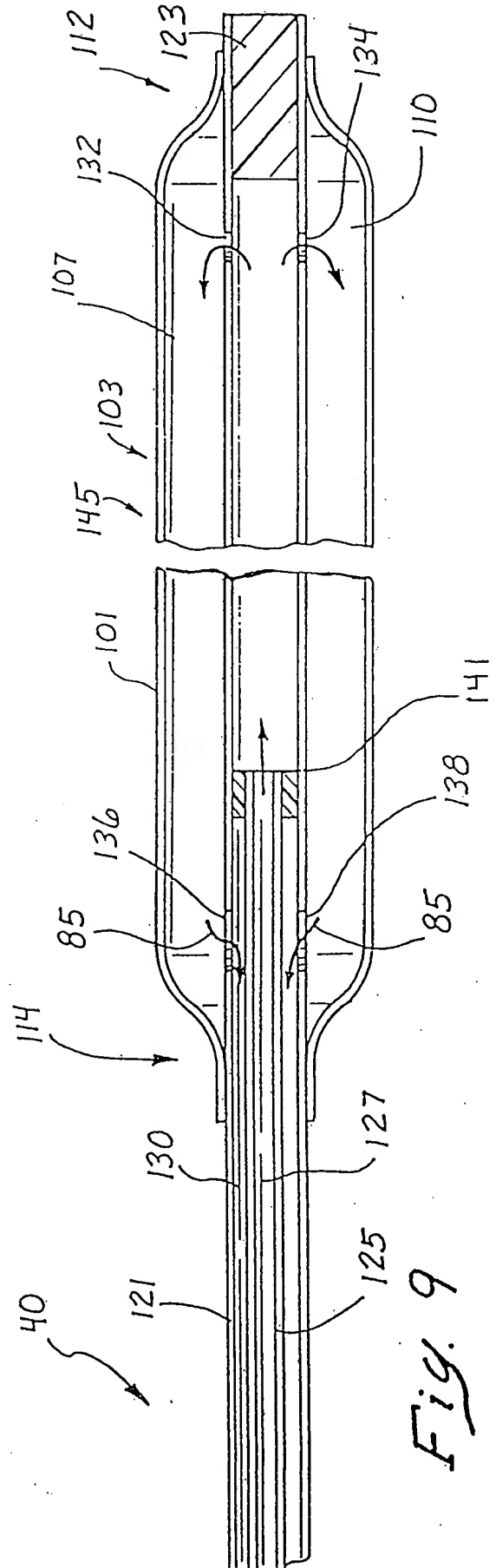


Fig. 9

999/002  
CIP of 999/001

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## Assignments on the Web &gt; Patent Query

## Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.**  
**For pending or abandoned applications please consult USPTO staff.**

## Total Assignments: 5

Patent #: 6338727 Issue Dt: 01/15/2002 Application #: 09133813 Filing Dt: 08/13/1998

Inventors: YVES PIERRE GOBIN, WAYNE A. NODA, MIKE L. JONES, SCOTT M. EVANS, BLAIR D. WALKER et al

Title: INDWELLING HEAT EXCHANGE CATHETER AND METHOD OF USING SAME

## Assignment: 1

Reel/Frame: 010012/0082

Recorded: 06/09/1999

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: JONES, MIKE L.

Exec Dt: 04/27/1999

EVANS, SCOTT M.

Exec Dt: 04/19/1999

WALKER, BLAIR D.

Exec Dt: 04/20/1999

WORTHEN, WILLIAM J.

Exec Dt: 04/20/1999

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## Assignment: 2

Reel/Frame: 014138/0472

Recorded: 06/05/2003

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: NODA, WAYNE A.

Exec Dt: 02/07/2000

JONES, MIKE L.

Exec Dt: 02/07/2000

EVANS, SCOTT M.

Exec Dt: 02/07/2000

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Assignee: ALSIUS CORPORATION

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## Assignment: 3

Reel/Frame: 010849/0100

Recorded: 06/15/2000

Pages: 17

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: NEUROPERFUSION, INC.

Exec Dt: 02/14/2000

Assignee: ALSIUS CORPORATION

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**Correspondent:** ARLYN L. ALONZO  
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IRVINE, CA 92618

**Assignment: 4****Reel/Frame:** 014138/0477**Recorded:** 05/30/2003**Pages:** 2**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** GOBIN, YVES PIERRE**Exec Dt:** 06/26/2000**Assignee:** REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE

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**Correspondent:** BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
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P.O. BOX 1404  
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**Assignment: 5****Reel/Frame:** 023163/0419**Recorded:** 08/29/2009**Pages:** 12**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** ALSIUS CORPORATION**Exec Dt:** 05/04/2009ALSIUS MEDICAL CORPORATION**Exec Dt:** 05/04/2009**Assignee:** ZOLL CIRCULATION, INC.

650 ALMANOR AVENUE  
SUNNYVALE, CALIFORNIA 94085

**Correspondent:** JOHN L. ROGITZ  
ROGITZ & ASSOCIATES  
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Search Results as of: 04/21/2010 12:18 PM  
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ASSIGNMENTU.C. Case No. 1999-501-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

I. Yves Pierre Gobin

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

INDWELLING HEAT EXCHANGE CATHETER AND METHOD OF USING SAME

and which is found in

- (a) \_\_\_\_\_ U.S. application filed herewith and listing the above named persons as inventors  
(b) \_\_\_\_\_ Patent application filed herewith and listing the above named persons as inventors  
(c) X U.S. application serial no. 09,133,813, filed 8/13/98.  
(d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

26 day of June, 2000

Signature of Inventor(s)

